

U.S. APPLICATION NO. (if known, see 37 CFR 1.5)

INTERNATIONAL APPLICATION NO.

ATTORNEY'S DOCKET NUMBER

PCT/US99/05710

T6637.CIP.PCT US

CALCULATIONS PTO USE ONLY

17. ☒ The following fees are submitted:**BASIC NATIONAL FEE (37 CFR 1.492 (a) (1) - (5)) :**

Neither international preliminary examination fee (37 CFR 1.482)
nor international search fee (37 CFR 1.445(a)(2)) paid to USPTO
and International Search Report not prepared by the EPO or JPO \$970.00

International preliminary examination fee (37 CFR 1.482) not paid to
USPTO but International Search Report prepared by the EPO or JPO \$840.00

International preliminary examination fee (37 CFR 1.482) not paid to USPTO but
international search fee (37 CFR 1.445(a)(2)) paid to USPTO \$690.00

International preliminary examination fee paid to USPTO (37 CFR 1.482)
but all claims did not satisfy provisions of PCT Article 33(1)-(4) \$670.00

International preliminary examination fee paid to USPTO (37 CFR 1.482)
and all claims satisfied provisions of PCT Article 33(1)-(4) \$96.00

ENTER APPROPRIATE BASIC FEE AMOUNT =

\$ 96.00

Surcharge of \$130.00 for furnishing the oath or declaration later than ☐ 20 ☒ 30
months from the earliest claimed priority date (37 CFR 1.492(e)).

\$ 130.00

CLAIMS	NUMBER FILED	NUMBER EXTRA	RATE
Total claims	23 - 20 =	3	X \$18.00
Independent claims	2 - 3 =	0	X \$78.00
MULTIPLE DEPENDENT CLAIM(S) (if applicable)			+ \$260.00

\$ 54.00

\$ 0

\$

TOTAL OF ABOVE CALCULATIONS =

\$ 280.00

Reduction of 1/2 for filing by small entity, if applicable. A Small Entity Statement
must also be filed (Note 37 CFR 1.9, 1.27, 1.28).

\$ 140.00

SUBTOTAL =

\$ 140.00

Processing fee of \$130.00 for furnishing the English translation later than ☐ 20 ☐ 30
months from the earliest claimed priority date (37 CFR 1.492(f)).

\$ 0

TOTAL NATIONAL FEE =

\$ 140.00

Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be
accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). \$40.00 per property

+

\$

TOTAL FEES ENCLOSED =

\$ 140.00

Amount to be
refunded:

\$

charged:

\$

a. ☒ A check in the amount of \$ 140.00 to cover the above fees is enclosed.b. ☐ Please charge my Deposit Account No. _____ in the amount of \$ _____ to cover the above fees.
A duplicate copy of this sheet is enclosed.c. ☒ The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any
overpayment to Deposit Account No. 20-0100. A duplicate copy of this sheet is enclosed.

NOTE: Where an appropriate time limit under 37 CFR 1.494 or 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must be filed and granted to restore the application to pending status.

SEND ALL CORRESPONDENCE TO:

VAUGHN W. NORTH
THORPE, NORTH & WESTERN, LLP
P.O. BOX 1219
SANDY, UTAH 84091-1219

SIGNATURE:

Vaughn W. North

NAME

27,930

REGISTRATION NUMBER

09-12-00

410 Rec'd PCT/PTO 11 SEP 2000

FORM PTO-400
(REV 12-29-99)

U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE

KEYS DOCKET NUMBER

T6637.CIP.PCT US

U.S. APPLICATION NO. (If known, see 37 CFR 1.5)

09/646235

INTERNATIONAL APPLICATION NO.

PCT/US99/05710

INTERNATIONAL FILING DATE

15 March 1999

PRIORITY DATE CLAIMED

13 March 1998

TITLE OF INVENTION APPARATUS FOR CONVERTING OCEAN WAVE MOTION TO ELECTRICITY

APPLICANT(S) FOR DO/EO/US

Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:

1. ☒ This is a **FIRST** submission of items concerning a filing under 35 U.S.C. 371.
2. ☐ This is a **SECOND** or **SUBSEQUENT** submission of items concerning a filing under 35 U.S.C. 371.
3. ☐ This express request to begin national examination procedures (35 U.S.C. 371(f)) at any time rather than delay examination until the expiration of the applicable time limit set in 35 U.S.C. 371(b) and PCT Articles 22 and 39(1).
4. ☒ A proper Demand for International Preliminary Examination was made by the 19th month from the earliest claimed priority date.
5. ☐ A copy of the International Application as filed (35 U.S.C. 371(c)(2))
 - a. ☐ is transmitted herewith (required only if not transmitted by the International Bureau).
 - b. ☐ has been transmitted by the International Bureau.
 - c. ☒ is not required, as the application was filed in the United States Receiving Office (RO/US).
6. ☐ A translation of the International Application into English (35 U.S.C. 371(c)(2)).
7. ☐ Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3))
 - a. ☐ are transmitted herewith (required only if not transmitted by the International Bureau).
 - b. ☐ have been transmitted by the International Bureau.
 - c. ☐ have not been made; however, the time limit for making such amendments has NOT expired.
 - d. ☒ have not been made and will not be made.
8. ☐ A translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)).
9. ☐ An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)).
10. ☐ A translation of the annexes to the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)).

Items 11. to 16. below concern document(s) or information included:

11. ☐ An Information Disclosure Statement under 37 CFR 1.97 and 1.98.
12. ☐ An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included.
13. ☐ A FIRST preliminary amendment.
☐ A SECOND or SUBSEQUENT preliminary amendment.
14. ☐ A substitute specification.
15. ☐ A change of power of attorney and/or address letter.
16. ☐ Other items or information:

IN THE UNITED STATES PATENT & TRADEMARK OFFICE

Group:

Examiner:

Inventors: Vaughn W. North

Serial No.:

CERTIFICATE OF MAILING
BY EXPRESS MAIL
"EXPRESS MAIL" MAILING
Label No.: EL163092730US

Filed: September 11, 2000

Title: Apparatus for Converting Ocean Wave Motion to Electricity

Attorney Docket No.: T6637 CIP PCT US

Assistant Commissioner of Patents
BOX PATENT APPLICATION
Washington, D.C. 20231

Sir:

I hereby certify that the following documents are enclosed:

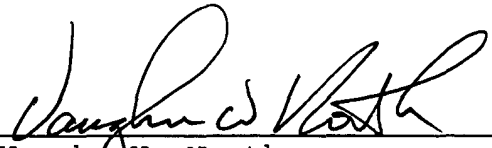
Transmittal Letter to the United States Designated/Elected
Office (DO/EO/US) Concerning a Filing Under 35 U.S.C. 371, in re
International Application No. PCT/US99/05710, International Filing
Date 15 March 1999, Priority Date 13 March 1998; and

Statement Claiming Small Entity Status (37 CFR 1.9(f) &
1.27(b)) -Independent Inventor; and

Fee Calculation Sheet and check for \$140.00

The above documents are being deposited with the United States
Postal Service "Express Mail Post Office to Addressee" service
under 37 CFR § 1.10 on September 11, 2000, and is addressed to

Assistant Commissioner for Patents, BOX PATENT APPLICATION,
Washington, D.C. 20231.


Vaughn W. North
Registration No. 27,930

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